

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Attorney Docket No. 003797.87364)

PATENT

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MAR 03 2004

Technology Center 2100

In the Application of:

Jerry Dunietz et al.

Serial No. 09/552,262

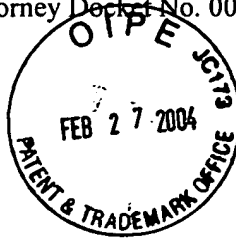
Filed: April 19, 2000

For: PRE-COMPUTING AND ENCODING  
TECHNIQUES FOR AN ELECTRONIC  
DOCUMENT TO IMPROVE RUN-TIME  
PROCESSING

Group Art Unit: 2176

Examiner: Peter J. Smith

Confirmation No. 4106



5C  
#13  
3/18/04

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner of Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. 1.97 and 1.98, the Applicants wish to make the following references of record in the above-identified application. This Supplemental Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. § 1.56. The references are listed on the enclosed and completed Forms PTO/SB/08A and PTO/SB/08B.

Should the Examiner have any questions with regard to this or any other matter, he is invited and encourage to contact applicant's representative at the number below.

Respectfully submitted,  
BANNER & WITCOFF, LTD

Dated: February 27, 2004

By: William J. Allen  
William J. Allen  
Reg. No. 51,393

Banner & Witcoff, Ltd.  
10 S. Wacker Drive  
Suite 3000  
Chicago, IL  
(312) 463-5000

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**Complete if Known**

Application Number	09/522,262
Filing Date	April 19, 2000
First Named Inventor	Jerry Dunietz
Art Unit	2176
Examiner Name	Peter J. Smith
Attorney Docket Number	003797.87364

*(use as many sheets as necessary)*

Sheet	1	of	2
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[illegible][illegible]

Date Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/08b(05-03)

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 2 of 2

**Complete if Known**

Application Number	09/552,262
Filing Date	April 19, 2000
First Named Inventor	Jerry Dunietz
Group Art Unit	2176
Examiner Name	Peter J. Smith
Attorney Docket Number	003797.87364

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**OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		PCT International Search Report (7 pages)	
		Open eBook™ Publication Structure 1.0 dated September 16, 1999.	

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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03-01-04

2176

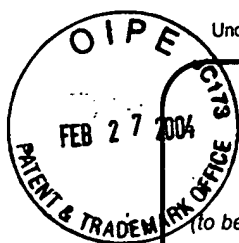
PTO/SB/21 (08-00)

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/552,262
Filing Date	April 19, 2000
First Named Inventor	Jerry Dunietz
Group Art Unit	2176
Examiner Name	Peter J. Smith
Attorney Docket Number	003797.87364

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Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

☐ Fee Transmittal Form☐ Fee Attached☒ Amendment / Response☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/ Incomplete Application☐ Response to Missing Parts under 37 CFR 1.52 or 1.53☐ Assignment Papers (for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a Provisional Application☐ Power of Attorney, Revocation Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s) \_\_\_\_\_☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals and Interferences☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify below):Copies of Non US Patent Cited References  
Return Receipt Postcard

## Remarks

Applicant believes there is no fee due in connection with this filing, however, the Commissioner is hereby authorized to charge any fees due or credit overpayment to Deposit Account No. 19-0733.

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

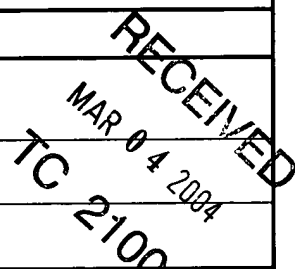
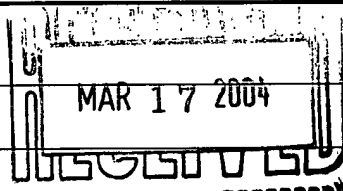
William J. Allen  
Reg. No. 51,393

Signature

William J. Allen

Date

February 27, 2004



## CERTIFICATE OF MAILING

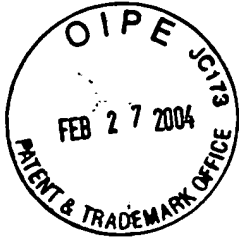
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: \_\_\_\_\_

Typed or printed name

Signature

Date

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(PATENT APPLICATION)**

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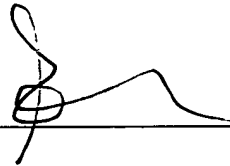
**MAR 03 2004**

**Technology Center 2100**

Express Mail No. EV 306400150US

Deposited: February 27, 2004

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

By: \_\_\_\_\_

Application of: Jerry Dunietz et al.

Application No.: 09/552,262

Filing Date: April 19, 2000

Title: Pre-computing and encoding techniques for an electronic document to improve run-time processing

Transmitted herewith are the following documents:

- X Transmittal Form (1 page) in duplicate
- X Supplemental Information Disclosure Statement
- X Form PTO/SB/08A and PTO/SB/08B with cited non US Patent references
- X Return Postcard

Attorney Case No.: 003797.87364